



Section \$  
#3  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Attorney Docket No. DN37998XEA**

Applicant:

Mahany, et al.

Serial No. 09/129,448

Filed: August 4, 1998

For: Hierarchical Communication System  
Providing Intelligent Data, Program and  
Processing Migration

§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Group Art Unit: 2732

Examiner: Unassigned

**CERTIFICATE OF MAILING**

Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Box: Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on October 27, 1998.

*Deena Beasley*  
Deena Beasley

**TRANSMITTAL LETTER**

BOX: MISSING PARTS  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In regards to the above identified application:

1. We are transmitting herewith the following.
  - a. Copy of Notice to File Missing Parts of Application Filing Date Granted
  - b. A check in the amount of \$920 for filing fees
  - c. Return Postcard
2. With respect to fees.
  - a. Enclosed herewith is a check in the amount of \$920.00 for fees associated with the basic filing fee (\$790.00) and surcharge (\$130.00).
  - b. Please charge any other fees that may be due or credit any overpayments to the Deposit Account of the Akin, Gump, Strauss, Hauer & Feld, L.L.P., Account No. 01-0660.

Respectfully submitted,

Date: October 27, 1998

*Gary B. Stanford*  
\_\_\_\_\_  
Gary B. Stanford  
Reg. No. 35,689

**NOTICE OF FILING/CLAIM FEE(S) DUE**  
 TO ENSURE PROPER CREDIT OF FEES, PLEASE RETURN A COPY OF THIS  
 FEE CALCULATION SHEET WITH YOUR RESPONSE.

APPLICATION NUMBER: 09129408



**Total Fee Calculation**

Fee Code	Sm./Lg.	Total # Claims	Number Extra	X	Fee	Fee =	Total
Basic Filing Fee	<u>201/101</u>				Sm. Entry	Lg. Entry	
Total Claims > 20	<u>203/103</u>	<u>1</u>	-20 =	<u>    </u>	<u>    </u>	<u>796</u>	<u>796</u>
Independent Claims > 3	<u>202/102</u>	<u>1</u>	-3 =	<u>    </u>	<u>    </u>	<u>    </u>	<u>    </u>
Multi. Dep Claim Present	<u>204/104</u>			<u>    </u>	<u>    </u>	<u>    </u>	<u>    </u>
Surcharge	<u>205/105</u>			<u>    </u>	<u>    </u>	<u>    </u>	<u>    </u>
English Translation	<u>139</u>			<u>    </u>	<u>    </u>	<u>(31)</u>	<u>130</u>

**TOTAL FEE CALCULATION**

Fees due upon filing the application:

Total Filing Fees Due = \$ 120

Less Filing Fees Submitted - \$ 0

BALANCE DUE = \$ 120

Office of Initial Patent Examination

SEP 08 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/129,448	08/04/98	MAHANY	R DN37998XEA

0222/0903

NOT ASSIGNED



MCANDREWS HELD & MALLOY  
500 WEST MADISON STREET  
14TH FLOOR  
CHICAGO IL 60661

2732

DATE MAILED:

09/03/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 920

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

11/04/1998 6DUCKETT 0000045 022918  
01 FC:101  
02 FC:105

88  
Keep  
11-3-98